

Privacy Policy

Effective: October 13, 2023

Our Commitment to You

Telos Wealth Management, LLC (“Telos”, or the “Advisor”) is committed to safeguarding the use of personal information of our Clients (also referred to as “you” and “your”) that we obtain as your Investment Advisor, as described here in our Privacy Policy (the “Policy”).

Our relationship with you is our most important asset. We understand that you have entrusted us with your private information, and we do everything that we can to maintain that trust. Telos (also referred to as "we", "our" and "us") protects the security and confidentiality of the personal information we have and implements controls to ensure that such information is used solely for proper business purposes in connection with the management or servicing of our relationship with you.

Telos does not sell your non-public personal information to anyone. Nor do we provide such information to others except for discrete and reasonable business purposes in connection with the servicing and management of our relationship with you, as discussed below.

Details of our approach to privacy and how your personal non-public information is collected and used are set forth in this Policy.

Why You Need to Know

Registered Investment Advisors (“RIAs”) must share some of your personal information in the course of servicing your Account[s]. Federal and State laws require RIAs to disclose how we collect, share, and protect your personal information, and also provide the right to limit sharing in certain instances.

What Information Do We Collect From You?

Driver’s license number	Date of birth
Social security or taxpayer identification number	Assets and liabilities
Name, address and phone number[s]	Income and expenses
E-mail address[es]	Investment activity
Account information (including from other institutions)	Investment experience and goals

What Information Do We Collect From Other Sources?

Custody, brokerage and advisory agreements	Account applications and forms
Other advisory agreements and legal documents	Investment questionnaires and suitability documents
Transactional information	Other information needed to service your Account[s]

How Do We Protect Your Information?

To safeguard your personal information from unauthorized access and use, Telos maintains physical, procedural and electronic security measures. These include safeguards such as secure passwords, encrypted file storage, and a secure office environment. Our technology vendors provide security and access control over personal information and have policies over the transmission of data. We also require those third parties that assist in providing our services to protect the personal information that they receive from us. Our associates are also trained on their responsibilities to protect Client’s personal information.

How Do We Share Your Information?

An RIA shares a Client’s personal information to effectively implement its services. In the section below, we list some reasons where we may share your personal information.

Basis for Sharing	Do We Share?	Can You Limit?
<p style="text-align: center;">Servicing our Clients</p> <p>We may share non-public personal information with non-affiliated third parties (such as administrators, brokers, custodians, regulators, credit agencies, or other financial institutions) as necessary for us to provide agreed upon services to you, consistent with applicable law, including but not limited to: processing transactions; general account maintenance; responding to regulators or legal investigations; and credit reporting.</p>	Yes	No
<p style="text-align: center;">Marketing Purposes</p> <p>Telos does not disclose, and does not intend to disclose, personal information with non-affiliated third parties to offer you services. Certain laws may give us the right to share your personal information with financial institutions where you are a customer and where Telos or the client has a formal agreement with the financial institution. We will only share information for purposes of servicing your accounts, and never for marketing purposes.</p>	No	Not Shared
<p style="text-align: center;">Authorized Users</p> <p>Your non-public personal information may be disclosed to you and persons that we believe to be your authorized agent[s] or representative[s].</p>	Yes	Yes
<p style="text-align: center;">Information About Former Clients</p> <p>Telos does not disclose and does not intend to disclose, non-public personal information to non-affiliated third parties with respect to persons who are no longer our Clients.</p>	No	Not Shared

Changes to our Privacy Policy

We will send you a copy of this Policy annually for as long as you maintain an ongoing relationship with us.

Periodically we may revise this Policy and will provide you with a revised Policy if the changes materially alter the previous Privacy Policy. We will not, however, revise our Privacy Policy to permit the sharing of non-public personal information, other than as described in this notice, unless we first notify and provide you with an opportunity to prevent the sharing of information.

Any Questions?

You may ask questions or voice any concerns, as well as obtain a copy of our current Privacy Policy by contacting us at 704-368-7913 or via email at planning@teloswealthadvisors.com.